105

Supreme Court, U.S.
FILED

08-338 AUG 21 2008

OFFICE OF THE CLERK
William K. Suter, Clerk

In The Supreme Court of the United States

Case No. _____

DIANA LEE FLAHERTY,

PETITIONER,

V.

UNITED STATES OF AMERICA,

RESPONDENT.

PETITIONER'S APPENDIX

Anthony P. Capozzi, #068525
LAW OFFICES OF ANTHONY P. CAPOZZI
1233 West Shaw Avenue, Suite 102
Fresno, California 93711
Telephone: (559) 221-0200
Facsimile: (559) 221-7997

Attorney for Petitioner

TABLE OF CONTENTS

1. Opinion from Court of Appeals for the Ninth Circuit, regarding United States v. Diana Lee Flaherty, Case No. 07-10138 filed March 20, 2008.

2. Order denying Appellant's Petition for Rehearing, filed May 23, 2008.

1.

Opinion from Court of Appeals
for the Ninth Circuit, regarding
United States v. Diana Lee Flaherty,
Case No. 07-10138
filed March 20, 2008.

NOT FOR PUBLICATION

UNITED STATES COURT OF APPEALS

FOR THE NINTH CIRCUIT

UNITED STATES OF AMERICA,	)
	)
Plaintiff-Appellee,	)
	)
v.	)
	)
DIANA LEE FLAHERTY,	)
	)
Defendant-Appellant.	)
	)

MEMORANDUM*

NO. 07-10138
D.C. No. CR-04-00084-RCJ/RJJ

Appeal from the United States District Court
For the District of Nevada
Robert C. Jones, District Judge, Presiding

Argued and Submitted February 27, 2008
Las Vegas, Nevada

* This disposition is not appropriate for publication and is not precedent except as provided by 9th Cir. R. 36-3.

Before: HAWKINS, **BERZON, and BYBEE, Circuit Judges.

Defendant offered no foundational evidence to show that she believed, in good faith, that the assay reports were based on unadulterated cinder samples. Fed.R.Evid. 901(a). Without such evidence, the reports could not establish that the cinders contained precious metals. Thus, the district court did not abuse its discretion by excluding the reports.

The district court's failure to instruct the jury that the government had the burden to disprove defendant's good faith was not plain error, because the jury was specifically instructed the government had to prove defendant's specific intent to defraud. See United States v. Shipsey, 363 F.3d 962, 967-68 (9th Cir. 2004). Because the jury was also told that a belief can be held in good faith even if "inaccurate, incorrect, or wrong," it was not plain error to fail to further instruct that a belief can be held in good faith even if not objectively reasonable.

** After argument, Chief Judge Kozinski recused himself from participation in this case. Judge Hawkins was drawn in his place, has listened to the arguments, and considered the briefs of the parties.

Nor was there an abuse of its discretion by ruling on defendant's motion for a new trial without an evidentiary hearing, see United States v. Nace, 561 F.2d 763, 772 (9th Cir. 1977), or by denying that motion on the ground, among others, that defendant's evidence was not newly discovered. See United States v. Sarno, 73 F.3d 1470, 1507 (9th Cir. 1995).

There was no clear error in finding that defendant's conspiracy lasted until after November 2001; therefore, applying the 2001 edition of the Sentencing Guidelines did not violate the Ex Post Facto Clause, see United States v. Inafuku, 938 F.2d 972, 973-74 (9th Cir. 1991). Nor was there error in finding that defendant's fraud violated an SEC injunction. See U.S.S.G. §2B1.1(b)(7)(C)(2001); id. note 5(C). Defendant, in fact, admitted she had notice of the injunction.

In calculating the Guidelines range, the court properly considered losses not proven at trial, see 18 U.S.C. §3661, and those caused by defendant's conspirators, see U.S.S.G. §1B1.3(a)(1)(B) (2001). Nor did any abuse of discretion occur by enhancing her sentence on this basis. Enhancement of

defendant's sentence was appropriate given her sophisticated means, including numerous fraudulent press releases, a corporate shell, a reverse merger and bank accounts in the names of others. See id, §2B1.1(b)(8)(C).

Finally, it was within the court's discretion to resolve all these issues without an evidentiary hearing. See United States v. Houston, 217 F.3d 1204, 1209 (9th Cir. 2000). Defendant's claim she was entitled to an evidentiary hearing on her "diminished capacity" and "other factors" bearing on her sentence fails because she never asked for such a hearing and cannot now show that the failure to provide on was plain error.

AFFIRMED.

2.

Order denying Appellant's Petition for Rehearing, filed May 23, 2008.

UNITED STATES COURT OF APPEALS

FOR THE NINTH CIRCUIT

UNITED STATES OF AMERICA,	)	
	)	
Plaintiff-Appellee,	)	
	)	
v.	)	ORDER
	)	
DIANA LEE FLAHERTY,	)	
	)	
Defendant-Appellant.	)	
	)	

NO. 07-10138

D.C. No. CR-04-00084-RCJ/RJJ
District of Nevada, Las Vegas

Before: HAWKINS, BERZON, and BYBEE, Circuit Judges.

Appellant's Petition for Rehearing has been considered and is DENIED.

FILED
MAY 23 2008
MOLLY C. DWYER, CLERK
U.S. COURT OF APPEALS